

FORM PTO-1390  
(REV 5-93)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.  
P101201-00021

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

DATE: June 19, 2001

U.S. APPLN. NO.  
(IF KNOWN, SEE 37 C.F.R. 1.5)

09/857184

INTERNATIONAL APPLICATION NO.  
PCT/JP98/05941

INTERNATIONAL FILING DATE  
December 25, 1998

PRIORITY DATE CLAIMED  
None

TITLE OF INVENTION: DIVERSITY RECEIVING APPARATUS THAT PREVENTS JUDGMENT ERRORS DURING DECODING AND A CLOCK GENERATING CIRCUIT FOR A DIVERSITY CIRCUIT THAT PREVENTS JUDGMENT ERRORS DURING DECODING

APPLICANT(S) FOR DO/EO/US: Toshinori IINUMA

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.  
(THE BASIC FILING FEE IS ATTACHED)
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures [35 U.S.C. 371(f)] at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper demand for International Preliminary Amendment was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed [35 U.S.C. 371(c)(2)]
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English [35 U.S.C. 371(c)(2)].
- ☐ Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
- ☐ A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)].
- ☒ An oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)].
10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)].

Items 11 - 16 below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:  
CHECK NO. 319546  
Drawings (8 sheets)  
Notification of Change of Name and Address  
International Search Report  
Japanese Language Request Form  
International Publication No. WO00/39976  
Notification of Transmittal of Copies of Translation of the Intl. Prelim. Exam. Rpt. (PCT/IB/338)

U.S. APPIN NO. (IF KNOWN)  
SEE 37 C.F.R. 1.52INTERNATIONAL APPLICATION  
NO. PCT/JP98/05941

ATTORNEY DOCKET NO. P101201-00021

DATE: June 19, 2001

CALCULATIONS PTO USE ONLY

17. ☐ The following fees are submitted:

**Basic National Fee [37 C.F.R. 1.492(a)(1)-(5)]:**  
Search Report has been prepared by the EPO or JPO.....\$860.00  
International preliminary examination fee paid to USPTO  
(37 C.F.R. 1.482).....\$690.00  
No international preliminary examination fee paid to USPTO  
(37 C.F.R. 1.482) but international search fee paid to USPTO  
[37 C.F.R. 1.445(a)(2)].....\$710.00  
Neither international preliminary examination fee  
(37 C.F.R. 1.482) or international search fee  
[37 C.F.R. 1.445(a)(2)] paid to USPTO.....\$1,000.00  
International preliminary examination fee paid to USPTO  
(37 C.F.R. 1.482) and all claims satisfied provisions of  
PCT Article 33(2)-(4).....\$ 100.00

## ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later  
than ☐ 20 ☐ 30 months from the earliest claimed priority date  
[37 C.F.R. 1.492(e)].

\$

Claims

Number Filed

Number Extra

Rate

Total Claims

13 - 20 =

0

X \$ 18.00

Independent Claims

4 - 3 =

1

X \$ 80.00

\$ 80.00

Multiple dependent claim(s) (if applicable)

+ \$270.00

\$

## TOTAL OF ABOVE CALCULATIONS =

\$ 940.00

Reduction by one-half for filing by small entity, if applicable.  
Verified Small Entity statement must also be filed.  
(Note 37 C.F.R. 1.9, 1.27, 1.28).

\$

## SUBTOTAL =

\$ 940.00

Processing fee of \$130.00 for furnishing the English translation  
later the ☐ 20 ☐ 30 months from the earliest claimed priority date  
[37 C.F.R. 1.492(f)].

\$

## TOTAL NATIONAL FEE =

\$ 940.00

Fee for recording the enclosed assignment [37 C.F.R. 1.21(h)]. The assignment  
must be accompanied by an appropriate cover sheet  
[37 C.F.R. 3.28, 3.31]. \$40.00 per property

\$ 40.00

## TOTAL FEES ENCLOSED =

\$ 980.00

Amount to be refunded

\$

Charged

\$

- a. ☒ A check in the amount of \$980.00 to cover the above fees is enclosed.  
b. ☐ Please charge my Deposit Account No. 01-2300 in the amount of \$ to cover the above fee.  
A duplicate copy of this sheet is enclosed.  
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to  
Deposit Account No. 01-2300.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive  
[37 C.F.R. 1.137(a) or (b)] must be filed and granted to restore the application to pending status.

## SEND ALL CORRESPONDENCE TO:

Customer No. 004372

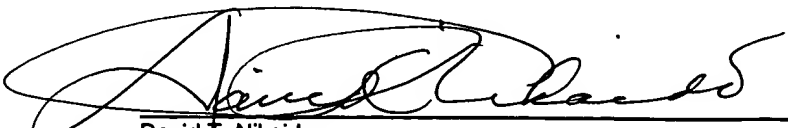
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